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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/607,534

PILLSBURY WINTHROP, LLP

06/27/2003

Hisashi Kitano

008312-0304522

Date Mailed: 10/15/2003

CONFIRMATION NO. 6166

FORMALITIES LETTER

OC000000011035649

MCLEAN, VA 22102

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

• Additional claim fees of \$168 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1068 for a Large Entity

• \$770 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

• Total additional claim fee(s) for this application is \$168

■ \$168 for 2 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED		* Indicates
See www.uspto.gov for complete FY 2003		fees remain
and FY-2004 Fee Schedules		at FY 2003
DECORIDATION	_	amount
DESCRIPTION Pagin filling for a 14/1/4/4	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
ndependent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
Design filing fee	\$330	\$340
Design filing fee (Small Entity)	\$165	\$170
Plant filing fee	\$520	\$530
Plant filing fee (Small Entity) Reissue filing fee	\$260	\$265
Reissue filing fee (Small Entity)	\$750	\$770
Reissue independent claims	\$375	\$385
Reissue independent claims (Small Entity)	\$84	\$86
Reissue claims in excess of twenty	\$42	\$43
Reissue claims in excess of twenty (Small Entity)	\$18	\$18*
Provisional application filing fee	\$9	\$9*
Provisional application filing fee (Small Entity)	\$160	\$160*
Surcharge - Late provisional filing fee	\$80	\$80*
Surcharge - Late provisional filing fee (Small Entity)	\$50	\$50*
Non-English Specification	\$25	\$25*
Extension - First month	\$130 \$440	\$130*
Extension - First month (Small Entity)	\$110	\$110*
Extension - Second month	\$55 *440	\$55*
Extension - Second month (Small Entity)	\$410 \$305	\$420 \$340
Extension - Third month	\$205	\$210
Extension - Third month (Small Entity)	\$930 \$465	\$950
Extension - Fourth month	\$465 \$4.450	\$475
Extension - Fourth month (Small Entity)	\$1,450 \$705	\$1,480
Extension - Fifth month	\$725 \$4.070	\$740
Extension - Fifth month (Small Entity)	\$1,970	\$2,010
Petition	\$985	\$1,005
Processing returned checks	\$130 \$50	\$130*
resoccioning returned checks	\$50	\$50*

For further fee information, please call (703-308-HELP)